

PATENT MAINTENANCE  
DIVISION

2006 MAY 19 PM 4:24

PATENT  
Attorney Docket No. 206008  
Client Reference No. F32271US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
US PATENT & TRADEMARK  
OFFICE

Patent Application No. 09/622,382

Applicant: Saunders et al.

Filed: January 12, 2001

TC/AU: 2828

Examiner: Vannucci, James

Docket No.: 206008 (Client Reference No. FF32271US)

Customer No.: 23460

**REQUEST FOR REFUND**

Mail Stop Office of Finance  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Attn: Refund Section, Accounting Division  
Office of Finance

Sir:

**Refund Request**

- ☒ This request for refund is made within two years of the date a fee was withdrawn from undersigned's deposit account on November 14, 2005 in the amount of \$1,400.00.

**History of Payment of Issue and Related Fees**

- The issue fee in this application was initially paid on June 20, 2002 (see copy of cancelled check attached as Exhibit A).

- The Office subsequently, incorrectly again charged the undersigned's deposit account in January 2004 (see Exhibit B), which was subsequently credited to the deposit account after so requested by the undersigned.

- All delays in the issuance of this application have been

- Issue Fee documentation was again filed on November 10, 2005 (see Exhibit

C). At the time of filing the issue fee documentation, the applicant also filed a "Communication In Conjunction with Payment of Issue Fee" explaining that the fee had previously been paid on June 20, 2002 (see Exhibit D). In an abundance of caution, however, the undersigned authorized payment of any required fee by deposit account if necessary.

- On November 15, 2005, the Office debited the undersign's account for the \$1,400.00 (see Exhibit E).

Adjustment date: 07/12/2006 RCLEMONS  
10/14/2005 121216 0962  
01 FC:1501 1400.00 CR

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In re Appln. of Saunders et al.  
Application No. 09/622,382

• In a telephone conversation with SPE Harvey on April 13, 2006, it was acknowledged that the \$1,400.00 should not have been charged to the deposit account.

• Inasmuch as payment for the issue fee had already been made on June 20, 2002, the charge of \$1,400.00 on November 15, 2005 was improper and should be credited to the deposit account, particularly in view of the fact that the more than three year delay in the issuance of this patent was due entirely to Office error, as will be confirmed by SPE Harvey.

• Should you have any questions regarding this matter or disagree with the information presented herein, please contact the undersigned.

**Fees Paid**


	AMOUNT OF REFUND REQUESTED
<input type="checkbox"/> Filing Fee	\$
<input type="checkbox"/> Surcharge for filing the basic filing fee on a date later than the filing date of the application	\$
<input type="checkbox"/> Surcharge for filing the oath or declaration later than the filing date of the application	\$
<input checked="" type="checkbox"/> Issue Fee	\$1,400.00

**TOTAL REFUND REQUESTED**

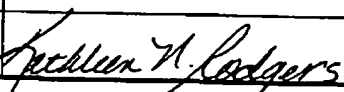
**\$1,400.00**

Please make the refund by ☒ crediting Deposit Account No. 12-1216 or ☐ forwarding a check in the amount of the refund to the address below.

Respectfully submitted,

  
Pamela J. Ruschau, Reg. No. 34,242  
LEYDIG, VOIT & MAYER, LTD.  
Two Prudential Plaza, Suite 4900  
180 North Stetson Avenue  
Chicago, Illinois 60601-6780  
(312) 616-5600 (telephone)  
(312) 616-5700 (facsimile)

Date: May 16, 2006

<b>CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8</b>			
I hereby certify that this Request for Refund and all accompanying documents are, on the date indicated below, <input type="checkbox"/> being facsimile transmitted to the U.S. Patent and Trademark Office, Attention: Examiner Refund Section, Accounting Division, Office of Finance, Facsimile Number 703-872-9306.			
Name (Print/Type)	Kathleen N. Rodgers		
Signature		Date	May 16, 2006

141298

LEYDIG, VOIT & MAYER LTD  
180 N. STETSON TWO PRUDENTIAL PLAZA  
SUITE 4900 - CHICAGO, IL 60601-6780  
PH (312) 616-5600

AMERICAN NATIONAL BANK  
AND TRUST COMPANY OF CHICAGO  
CHICAGO, IL 60690  
2-77710

PAY: *One Thousand Two Hundred Eighty and 00/100 Dollars*  
NUMBER 000141298 DATE 06/20/2002 AMOUNT \*\*\*\*\*1,280.00

280015661 170 3517 4030 09 28

TO THE  
ORDER  
OF  
COMMISSIONER FOR PATENTS

LEYDIG, VOIT & MAYER LTD.  
AUTHORIZED SIGNATURES  
*[Signature]*  
*Mark E. Phelps*

THIS DOCUMENT CONTAINS HEAT SENSITIVE INK. TOUCH OR PRESS HERE - RED IMAGE DISAPPEARS WITH HEAT. B  
"141298" :071000770: 00885975"  
"0000128000"

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PATENT AND TRADEMARK OFFICE  
13-10-0001  
07-01-2002  
FOR CREDIT TO THE  
U.S. TREASURY

17010000 1STMIX  
280015661 0520-0027-8  
280015661 07-03-02  
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FIRST NATIONAL BANK  
CHICAGO, ILL. 60601  
1-800-555-1118  
056 001 118 4  
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0020 39237

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01/28 270 10250997	223374	1251	✓ \$110.00	\$59,237.00
01/28 275 10461078	221227	8021	✓ \$40.00	\$59,197.00
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01/28 366 0764719	211779	7205	✓ \$100.00	\$58,937.00
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01/28 440 76349085	214743	7004	✓ \$300.00	\$57,602.00
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01/28 457 76349016	214741	7004	✓ \$300.00	\$57,002.00
01/28 465 76311165	213378	7004	✓ \$300.00	\$56,702.00
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01/28 494 2132378	177568	7208	✓ \$200.00	\$56,067.00
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01/28 509 78358269	226237	7001	✓ \$335.00	\$55,397.00
01/28 531 78358281	226242	7001	✓ \$335.00	\$55,062.00
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01/29 7 09622382	206008	1501	✓ \$1,280.00	\$52,832.00
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01/29 221 09884551	211650	1504	✓ \$300.00	\$122,424.00
01/29 226 10362976 221507 PA31742US-03		8021	✓ \$40.00	\$122,384.00
01/29 230 78358837	226248	7001	✓ \$335.00	\$122,049.00
01/29 241 10762094	224085	8021	✓ \$40.00	\$122,009.00
01/29 242 78265203	223330	7002	✓ \$100.00	\$121,909.00

Complete and send this form, together with applicable fee(s), to: **Mail**

**Mail Stop ISSUE FEE**  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, Virginia 22313-1450**  
**or Fax (571) 273-2885**

**INSTRUCTIONS:** This form should be used for transmitting the **ISSUE FEE** and **PUBLICATION FEE** (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

23460 7590 08/11/2005

**LEYDIG VOIT & MAYER, LTD**  
**TWO PRUDENTIAL PLAZA, SUITE 4900**  
**180 NORTH STETSON AVENUE**  
**CHICAGO, IL 60601-6780**

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

**Certificate of Mailing or Transmission**

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

Kathleen N. Grantz	(Depositor's name)
<i>Kathleen N. Grantz</i>	(Signature)
November 10, 2005	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/622,382	01/12/2001	Simon Reza Saunders	206008	3527

TITLE OF INVENTION: ADAPTIVE MULTIFILAR ANTENNA

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$0	\$1400	11/14/2005

EXAMINER	ART UNIT	CLASS-SUBCLASS
VANNUCCI, JAMES	2828	343-895000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. \_\_\_\_\_

2. Leydig, Voit & Mayer, L

3. \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

iversity of Surrey

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Surrey, United Kingdom GU2 7XH

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
- ☒ Publication Fee (No small entity discount permitted)
- ☐ Advance Order - # of Copies \_\_\_\_\_

4b. Payment of Fee(s):

- ☐ A check in the amount of the fee(s) is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 12-1216 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.
- ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature Pamela J. RuschauDate 10 November 2005Typed or printed name Pamela J. RuschauRegistration No. 34,242

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application No. 09/622,382

Applicant: Saunders et al.

Filed: January 12, 2001

TC/AU: 2828

Examiner: Vannucci, James

Date of Mailing of "Notice of Allowance and Base Issue Fee Due": August 11, 2005

Confirmation No. 3527

Docket No.: 206008 (Client Reference No. F32271US)

Customer No.: 23460

Mail Stop Issue Fee

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

COMMUNICATION IN CONJUNCTION WITH PAYMENT OF ISSUE FEE

Sir:

The issue fee in this application was initially paid on June 20, 2002 (see copy of cancelled check attached). The Office subsequently, incorrectly again charged the undersigned's deposit account in January 2004, which we understand was subsequently credited to the deposit account after so requested by the undersigned. All delays in the issuance of this application have been due to Office error.

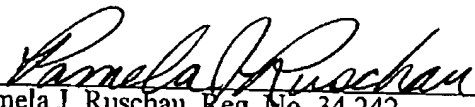
The issue fee filed on June 20, 2002 should either be credited to the deposit account or used to reduce the issue fee presently due.

Should you have any questions regarding this matter or disagree with the information presented herein, please contact the undersigned.

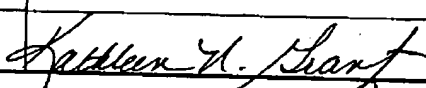
Application No. 09/622,382

COMMUNICATION IN CONJUNCTION  
WITH PAYMENT OF ISSUE FEE

Respectfully submitted,

  
Pamela J. Ruschau, Reg. No. 34,242  
LEYDIG, VOIT & MAYER, LTD.  
Two Prudential Plaza, Suite 4900  
180 North Stetson Avenue  
Chicago, Illinois 60601-6780  
(312) 616-5600 (telephone)  
(312) 616-5700 (facsimile)

Date: November 10, 2005

MAILING/TRANSMISSION CERTIFICATE UNDER 37 CFR 1.8 OR 1.10			
I hereby certify that this document and all accompanying documents are, on the date indicated below, being <input type="checkbox"/> deposited with the U.S. Postal Service using "Express Mail" service in an envelope addressed in the same manner indicated on this document with Express Mail Label Number <input type="checkbox"/> deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed in the same manner indicated on this document, or <input type="checkbox"/> facsimile transmitted to the U.S. Patent and Trademark Office at fax number: (703) 746-4000.			
Name (Print/Type)	Kathleen N. Grantz		
Signature		Date	November 10, 2005

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## Deposit Account Statement

Page 6 of 15

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11/09 71	78111923	224364	8522	\$50.00	\$129,218.44
11/09 75	10075994	P 0280682	2251	\$60.00	\$129,158.44
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11/14 11	10623772		1504	\$300.00	\$113,275.44
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11/14 89	10555729	0215-US	2631	\$150.00	\$111,377.44
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Nov. 05